FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## OMB APPROVAL 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Estimated average burden

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Callen David						2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [ NRG ]									ck all app Direc	,		10% O	
(Last) (First) (Middle) NRG ENERGY, INC. 804 CARNEGIE CENTER						3. Date of Earliest Transaction (Month/Day/Year) 05/01/2020									belov			below)	
(Street) PRINCETON NJ 08540					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(\$	State) (2	Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Date						Exe if an	cution	Deemed ution Date, / th/Day/Year)				rities Acquired ( ed Of (D) (Instr. 3			Benefic	ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
										v	Amount	(A) (D)	or	Price	Transa	eu ction(s) 3 and 4)			(msu. 4)
Common Stock, par value, \$.01 per share 05/01/2					2020				A		82	1	A	(1)	44	1,522 <sup>(2)</sup>		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution or Exercise (Month/Day/Year) if any		emed on Date, Day/Year)	4. Transaction Code (Instr. ) 8)		of	rative rities iired r osed ) : 3, 4	Expiration Dat (Month/Day/Ye		ear) Securities Underlyin Derivative Security ( 3 and 4)  An or Nu Expiration		unt of rities rlying ative rity (In I 4) Amo or Num of	str.	. Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

- 1. Represents dividend equivalent rights accrued on the Reporting Person's restricted stock units, which become exercisable proportionately with the restricted stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- 2. Includes 177 dividend equivalent rights and 157 shares acquired under NRG Energy, Inc.'s Employee Stock Purchase Plan since the Reporting Person's last filing.

/s/ Christine Zoino, by Power of Attorney

05/05/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.